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Page 1 of 3

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 8 Sept 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL516724133US to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Todd E. Garabedian
Name of Person Mailing Paper

Todd E. Garabedian
Signature of Person Mailing Paper

APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53

Transmitted herewith for filing under 37 C.F.R. § 1.53 is the patent application of:

Inventor(s): CHRISTOPHER P. LAURELLO, DEREK E. TYLER, SZUCHAIN CHEN and JULIUS C. FISTER

for: **TARNISH DETERRING TIN COATING**

1. Type of Application

☒ Original ☐ Continuation ☐ Divisional
☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. § 119)

☐ The new application being transmitted claims the benefit of
☐ prior foreign application serial no. _____, filed on _____ in _____ (country); or
☐ PCT international application designating at least one country other than the U.S. application Serial no. _____, filed on _____.

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. § 120)

☐ The new application being transmitted claims the benefit of prior copending U.S. application(s):
Serial No. _____, filed on _____;

4. Papers Enclosed Which Are Required for Filing Under 37 C.F.R. 1.53(b)

[24]	page(s) of Specification
[9]	page(s) of Claims, having 41 claims, including 3 independent and 38 dependent claims
[1]	page(s) of Abstract
[5]	sheet(s) of <input type="checkbox"/> formal <input checked="" type="checkbox"/> informal Drawings

jc849 U.S. PTO
09/08/00

jc813 U.S. PTO
09/08/00
09/657794

09657794-090800

5. Additional Papers Enclosed

- ☐ Preliminary Amendment
☒ Information Disclosure Statement
☒ Form PTO-1449
☒ Copies of Cited Art
☒ Other Information Disclosure Letter

Declaration

6. A signed declaration is ☐ enclosed ☒ not enclosed.

7. Assignment

- ☐ Enclosed is a recordation form and an assignment of the invention to
OLIN CORPORATION.

8. Small Entity Status

- ☐ A verified statement claiming small entity status:
☐ is enclosed.
☐ was filed in prior application _____ and such status is
still proper and desired (copy enclosed).

9. Fee Calculation (37 C.F.R. 1.16)

CLAIMS AS FILED					
	Number Filed	Number Extra	Rate	Basic Fee	\$ 690.00
Total Claims	41	-20	21	\$18.00	\$ 378.00
Independent claims	3	-3	0	\$78.00	\$ -0-
Multiple Dependent claims, if any:				\$260.00	-0-
Total					\$1,068.00
Reduction for small entity by 50%					\$ -0-
TOTAL FILING FEE					\$1,068.00

10. Method of Payment of Fees

- ☐ Check in the amount of \$
☒ Charge Account No. 23-1665 in the amount of \$1,068.00.

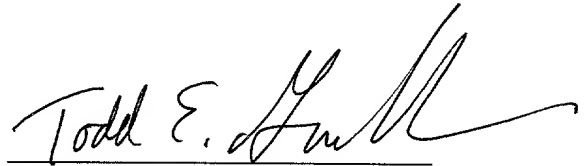
11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to:

Todd E. Garabedian, Ph.D.
WIGGIN & DANA
One Century Tower
New Haven, CT 06508-1832



Todd E. Garabedian, Ph.D.
Reg. No. 39,197

WIGGIN & DANA
One Century Tower
New Haven, CT 06508-1832
Tel. (203) 498-4400

Date: _____

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TARNISH DETERRING TIN COATING

BACKGROUND OF THE INVENTION

1. Field of the Invention

The invention relates to tin coatings that resist
tarnishing and discoloration, and more particularly to a coating
made from an antitarnish layer and a tin-base layer deposited
over the antitarnish layer. The layers may be combined to form
a gradient of antitarnish agent within the tin-base layer. The
invention also relates to methods of making the above coatings.

2. Background of the Invention

Oxidation and tarnishing of electrical contact surfaces
generally results in high electrical contact resistance, thereby
reducing performance of electrical devices. In addition,
oxidation and tarnishing of electrical contact surfaces reduces
the wettability of solder, and generally makes soldering
problematic. Therefore, compositions and methods to reduce
oxidation and tarnishing of electrical contacts have been
pursued in the art.

One method to reduce oxidation or tarnishing of electrical contacts, and particularly copper or copper alloy leadframes and electrical connectors, is to apply a layer of tin metal onto the copper surface. The tin metal layer acts as a barrier to

5 prevent or reduce oxidation, and thereby maintain the electrical performance of the copper contact. However, under certain conditions (e.g., elevated temperatures in air or other oxygen containing atmospheres), tin coatings have a tendency to oxidize and produce a yellowish oxide film that discolors the surface of the tin coating. Although the oxide film is typically only
10 about 50-200 Angstroms in thickness, the surface of the tin may turn a distinct yellow color, which is undesirable to many consumers. In addition, under certain conditions (e.g., elevated temperature environments), the oxidation layer may
15 attain a thickness that degrades the contact resistance of a coated electrical terminal. The latter conditions are especially prevalent in automobile engine compartments where electrical components such as connectors must endure high local temperatures.

20 Attempts have been made to address the problem of oxidation of tin coatings. The following patents and publications represent the general state of the art:

U.S. Patent No. 5,780,172 is drawn to a copper or copper alloy substrate, tin or tin alloy coating layer with a barrier

layer between the substrate and the coating layer. The barrier layer is either a copper/nickel layer containing 25%-40% of nickel or a multilayer structure having a copper layer in direct contact with the coating layer.

5 U.S. Patent No. 5,916,695 is drawn to a copper or copper alloy substrate, tin or tin alloy coating layer with a barrier layer between the substrate and the coating layer. The barrier layer contains from 20%-40% of nickel and a flash is disposed between the barrier layer and the substrate.

10 A publication entitled "An Examination of Oxide Films on Tin and Tin Plate" by S.C. Britton and K. Bright discloses that the addition of small amounts of phosphorous, indium or zinc to tin prevents the formation of color films when the metal is heated. Although this article recognizes the need to prevent
15 oxidation of tin, it does not disclose an efficient method to introduce oxide resisting elements into the tin coating such that these elements have an increased concentration at the surface of the tin that is exposed to ambient air.

20 Japanese Kokai No. 3(1991)-239,353 published October 24, 1991, discloses a copper leadframe for semiconductor devices. This reference describes placing zinc between a copper leadframe and a tin coating. The zinc layer is introduced to prevent diffusion between the tin coating and the copper leadframe. This reference also fails to disclose an efficient method for

applying selected oxide resistant elements into a surface of a tin layer exposed to ambient air.

Published PCT Application No. WO 99/51363 discloses a tin coated electrical or electronic component that possesses enhanced resistance to oxidation and tarnishing as well a smaller increase in contact resistance when exposed to elevated temperatures. These benefits are achieved by depositing a relatively thin (e.g., 5-50 angstroms thick) layer of zinc onto the tin coating prior to heating. A subsequent step of heating the sample to a temperature and time effective to convert all free tin to an intermetallic imparts the additional advantage of reducing the coefficient of friction. However, there is a need for improving the robustness and durability of the coating during processing or handling.

As can be seen from the illustrative background discussed above, there is a need for alternative methods of preventing oxidation of tin in electrical conductors and contacts. The present invention is believed to be an answer to that need.

BRIEF SUMMARY OF THE INVENTION

In one aspect, the present invention is directed to a coated substrate, comprising: an antitarnish layer deposited on a substrate in an amount effective to prevent tarnishing of the coated substrate; and an outer layer deposited onto the

antitarnish layer, the outer layer comprising tin or tin alloys having at least 50% by weight tin.

In another aspect, the present invention is directed to a coated substrate comprising a coating on a substrate, the coating having a first surface and a second surface, the second surface positioned adjacent to the substrate, and comprising: a metal layer comprising tin or tin alloys having at least 50% by weight tin; and a concentration gradient of antitarnish agent diffused into the metal layer, the concentration gradient having the highest concentration of the antitarnish agent at the second surface, the antitarnish agent present in the coating in an amount effective to prevent tarnishing of the coating; and wherein the coating has a thickness between 10 microinches and 1000 microinches.

In another aspect, the present invention is directed to a method of forming a coated substrate, comprising the steps of: (1) depositing an antitarnish layer comprising an antitarnish agent onto a substrate, the antitarnish layer deposited onto the substrate in an amount effective to prevent tarnishing of the coated substrate following diffusion of the antitarnish layer into the metal layer; (2) depositing a metal layer onto the antitarnish layer, the metal layer comprising tin or tin alloys having at least 50% by weight tin; and (3) diffusing the antitarnish layer into the metal layer to form a concentration

gradient of the antitarnish agent within the metal layer, the concentration gradient having the highest concentration of the antitarnish agent at the substrate, to form the coated substrate.

5 These and other aspect will be described in more detail in the following detailed description of the invention.

BRIEF DESCRIPTION OF THE FIGURES

10 The invention will be more fully understood from the following detailed description taken in conjunction with the accompanying figures in which:

15 Fig. 1 depicts an arrangement of the coating of the invention;

20 Fig. 2 depicts an alternative arrangement of the coating of the invention;

25 Fig. 3 depicts an arrangement of the coating of the invention showing a gradient of material;

30 Fig. 4 depicts an alternative arrangement of the coating of the invention showing a gradient of material; and

35 Fig. 5 is a graph showing the relationship between coefficient of friction values (expressed as R/N) and free tin thickness.

DETAILED DESCRIPTION OF THE INVENTION

It has been surprisingly found, in accordance with the present invention, that oxidation and discoloration of tin base coatings or tin alloy coatings may be significantly reduced or completely eliminated by application of an antitarnish undercoating layer positioned between the tin base coating and the substrate. Locating the antitarnish layer between the substrate and the tin base coating, in accordance with the present invention, is particularly advantageous in connector applications because mechanical erosion or degradation of the antitarnish layer is prevented. In addition to preventing oxidation and discoloration of the tin base layer, the antitarnish undercoating layer does not interfere with the aesthetic quality of the tin base coating and provides for an aesthetically pleasing surface.

As defined herein the term "base" used in association with a metal (e.g., copper base, tin base, etc.) refers to an alloy that contains at least 50 wt% of the specified element. The term "alloy" refers to a mixture of two or more metals, or of one or more metals with certain nonmetallic elements. The term "diffused" as defined herein refers to the introduction of one substance into another substance so as to affect the second substance. The term "concentration gradient" refers to a specified amount of substance(s) per unit distance, and

expressly includes nonlinear gradients (e.g., differing amounts of substance(s) per unit distance) as well as uniform distribution of substance(s) (i.e., gradient of zero).

As indicated above, the present invention is generally a coated substrate, comprising: an antitarnish layer deposited on a substrate in an amount effective to prevent tarnishing of the coated substrate; and an outer layer deposited onto the antitarnish layer, the outer layer comprising tin or tin alloys having at least 50% by weight tin. Each of these components is discussed in detail below.

Referring now to Fig. 1, the substrate 10 onto which the coating is deposited may be any substrate known in the art, including metal, plastic, composites, and the like. Particularly suitable substrates include metals, such as copper or copper base alloys found in electrical connectors, leadframes, electronic packaging, printed circuits, and the like.

Prior to application of the antitarnish coating, the substrate preferably is subjected to a degreasing process. An example of a commercial degreaser is an alkaline electrocleaner such as Hubbard-Hall's E-9354 electrocleaner (available commercially from Hubbard-Hall, Waterbury, CT). An alkaline mixture along with anodic/cathodic electrocleaning allows the oxygen or hydrogen to remove most deposits residing on the

substrate. The electrocleaning process usually works most efficiently at 20-55°C with a current density range of 10-50 asf.

The antitarnish layer 20 of the present invention is applied to the substrate, and includes an antitarnish agent. Preferably, the antitarnish agent is an element that has a greater negative free energy of oxide formation than tin, and preferentially forms an oxide. Elements useful as the antitarnish agent include zinc, chromium, indium, phosphorous, potassium, sodium, manganese, vanadium, boron, silicon, thallium, cerium, magnesium, aluminum, calcium, and the like, either individually or in combination (e.g., in alloy form). Another group of elements that could reduce oxide formation are noble metal such as silver, gold, platinum, and palladium, which can be employed individually, or alloyed with tin or another metal to reduce the tendency to form oxides. Additionally, the above antitarnish agents may be employed in the antitarnish layer either individually, or in combination of two, three, four, or more (e.g., in alloy form).

The antitarnish layer 20 can be applied to the substrate by any deposition method known in the art, for example, electroplating, immersion coating, vapor deposition, chemical vapor deposition, plasma deposition, metal spraying, rolling, gravure, and the like. Electroplating is one preferred and

well-known method of method of depositing the antitarnish layer onto the substrate. Electroplating may be generally accomplished by immersing the substrate in a bath of antitarnish agent (e.g., 0.1 to 200 g/l of zinc chloride in an aqueous solution having a pH between 1 and 5 if zinc is used as part of the antitarnish layer). If an indium layer is desired as the antitarnish layer, an indium bath having 0.1 to 200 g/l of indium in an aqueous solution having a pH between 1 and 5 may be used. Preferably, the finished antitarnish layer deposited onto the substrate has a thickness between about 5 and about 1000 Angstroms, and more preferably between about 25 and about 500 Angstroms.

The outer layer 30 of the coating of the present invention includes a tin base (e.g., at least 50 wt% of tin), and is applied over the antitarnish layer. The application of the outer layer 30 may be accomplished by the methods described above for deposition of the antitarnish layer, e.g., electroplating, vapor deposition, chemical vapor deposition, plasma deposition, metal spraying, rolling, gravure, HALT (hot air level tin) process, mechanical wipe, and the like.

The electroplating method generally involves use of a tin ion-containing electrolyte to deposit a tin coating onto the substrate. One exemplary tin electrolyte contains between 10 g/l and 50 g/l tin and between 30 g/l and 70 g/l sulfuric acid

in a electrolyzing bath. The bath is electrolyzed at a nominal temperature of 15-50°C and at a current density of about 10-100 amps/ft² (asf). The bath typically deposits about 50 microinches of tin per minute.

5 Preferably, the thickness of the outer layer 30 ranges from about 10 to about 1000 microinches, more preferably from about 10 to 400 microinches, and most preferably from about 20 to about 80 microinches.

The outer layer can have a bright or matte finish, as
10 desired. A bright finish may be achieved by adding proprietary organic compounds. The addition of such an organic material produces a tin coating with a smooth, harder surface. An example of the bright tin plating is given in the provided in the Enthone-OMI Sel-Rex Technical Data Sheet for "SOLDEREX TB"
15 sulfate-based bright acid tin. This example applies 6.5 ounces per gallon of stannous sulfate, 7 vol% sulfuric acid, 0.63 - 3.5% Solderex additives, 65 asf cathode current density, and 15 asf anode current density at 21°C.

Alternatively, a matte finish on the tin coating may be
20 produced by electroplating tin from a tin-sulfate bath that is known in the art for preparing this type of finish. An example is Lucent Technologies' "SNTECH SATINBRIGHT" high speed sulfate plating bath. The bath should be maintained preferably at 15-85°C, and more preferably 25-60°C, with agitation. Current

density used during plating preferably ranges from 10-300 asf, and more preferably from 25-100 asf. The resulting finish has a "satin" appearance. Thick coatings are typically deposited, and have the advantage of a longer operational life and are appropriate for heavy-duty applications.

Additional metals such as lead may be included in the outer layer to form an alloy. For example, the outer layer may contain from 50% to 95% by weight tin and the balance lead. In one embodiment, the outer layer has 50% to 75% by weight tin and the remainder lead. A useful tin-lead alloy has 60% by weight tin and 40% by weight lead, and another tin-lead alloy has 63% by weight tin and 37% by weight lead. One particularly useful outer layer alloy includes tin and up to 50 wt% lead. Other useful alloying elements in the tin alloy include, but are not limited to, silver, bismuth, copper, indium, and combinations thereof.

The outer layer may also include friction-reducing materials that reduce the coefficient of friction of the finished coating. These additional friction-reducing materials may be uniformly dispersed polymers such as polyimide, polyamide, polytetrafluoroethylene ("TEFLON"), silicon carbide, aluminum oxide, tungsten carbide, and molybdenum disulfide. Preferably, these materials will range in size from about 0.5 microns to about 3 microns. Typically, these materials

represent from about 1 to about 30 wt% of the total composition. These materials reduce friction at the coating surface without significantly increasing contact resistance. Additional friction-reducing materials are disclosed in U.S. Patent No. 5,028,492, which is herein incorporated by reference in its entirety.

Additional materials, such as zinc chloride, HCl, and indium chloride may also be added to the outer layer 30 to aid in further processing of the coating as described in more detail below. For example, the outer layer may be treated to brighten it or provide it with tarnish resistance.

An additional barrier layer 40 may be optionally included in the coating of the invention (as shown in Fig. 2 where like numbers refer to like features). Such a barrier layer is preferably disposed between the substrate surface and the antitarnish layer. The barrier layer 40 reduces the rate of intermetallic formation between the substrate and the tin layers. Useful materials for the barrier layer include nickel, iron, cobalt and copper, and alloys and/or combinations thereof, and may be applied to the substrate by any of the methods described previously. One suitable barrier layer is a combination of copper and 10 to 70 wt% nickel applied at a thickness of from about 0.2 to 2.0 microns. Additional barrier layers are disclosed in U.S. Patent No. 5,780,172 which is

incorporated by reference in its entirety. The barrier layer may also be formed by plating alternating layers of different metals and then diffusing the layers to form a desired alloy.

After the layers have been applied, the outer layer 30 may be coated with a fluxing material such as zinc chloride, and subjected to reflow in a reflow furnace. The reflow step, described in more detail below, melts the tin base material of the outer layer where it diffuses into the antitarnish layer. A gradient of antitarnish agent is thus formed within the outer layer, with the highest concentration of antitarnish agent located at the substrate surface (or barrier surface if the barrier layer is used).

The process of applying the coating is generally performed in a continuous process as follows, although stepwise applications may also be used.

The substrate (generally a copper or copper base alloy strip) is first electrocleaned in an alkaline bath in the presence of an electric current. The electrocleaning generates hydrogen gas on the surface of the substrate to remove any oxidation or other surface contaminants.

In a preferred embodiment, following the electrocleaning step, the substrate is rinsed with deionized water and the barrier layer is applied. The barrier layer application is generally performed by electroplating as described above.

However, alternative methods, such as vapor deposition, chemical vapor deposition, plasma deposition, metal spraying, rolling, gravure, mechanical wipe, and the like, may also be suitably employed. The substrate is rinsed again in deionized water, and
5 the antitarnish layer is then applied, preferably by electroplating, although other deposition methods described above may suitably be used. As indicated above, the thickness of the antitarnish layer is between about 5 and about 2500 Angstroms, more preferably between about 5 and about 1000 Angstroms, and most preferably between about 5 and about 500 Angstroms.

Following deposition of the antitarnish layer, the substrate is again rinsed in deionized water and the outer layer is applied. As indicated above, the outer layer includes tin or a tin base alloy, and is applied to the antitarnish layer
15 preferably by electroplating. The thickness of the outer layer ranges from about 10 to about 1000 microinches, more preferably from about 20 to 400 microinches, and most preferably from about 20 to about 80 microinches.

20 At this point, the substrate is now coated with the coating and may be utilized. Alternatively, the coated substrate may be further processed as follows:

After another rinse with deionized water, zinc chloride is applied to the outer layer. Generally, this application is

performed by dipping the coated substrate into a solution of zinc chloride (e.g., 0.1 to 200 g/l, with pH between 1 and 5). The zinc chloride solution is dried, and then the coated substrate is subjected to reflow in a reflow furnace.

5 During the reflow process, the coated substrate is moved through a reflow furnace held at a temperature of between 240 and 600°C at a rate of between about 5 and about 500 feet/minute. At the proscribed temperature, the tin in the outer layer melts, and the zinc layer diffuses into the tin layer. A gradient of antitarnish agent is thus formed within the outer layer, with the highest concentration of antitarnish agent located at the substrate surface (as shown in Fig. 3). As described above, a barrier layer 60 may be deposited between the substrate 50 and the gradient of antitarnish agent 80 (as shown in Fig. 4). The reflow step establishes a gradient of antitarnish agent 80 wherein the higher concentration of antitarnish agent is adjacent to the barrier layer 60.

Although a gradient of antitarnish agent is exemplified in one embodiment of the present invention, it is also possible to establish a uniform distribution of antitarnish agent (e.g., a gradient of zero) throughout the coating, when appropriate.

Following the reflow step, the gradient of antitarnish agent infused into the tin base coating preferably ranges (linearly or nonlinearly) from about 0.001 to 5 wt%, more

preferably from about 0.005 to 3 wt%, and most preferably from about 0.01 to 2 wt%, all based on the total weight of the tin-base coating.

The coated substrate is next cooled in a quench tank,
5 dried, and wound into coils.

The wound coils of coated substrate may be optionally further processed by heating in an oven at 150-200°C for 1-75 hours. This optional heating step allows the tin to further diffuse into the barrier layer and thereby produce intermetallic compounds (e.g., Cu_3Sn , Cu_6Sn_5), while reducing the concentration of free tin at the surface of the coated substrate. The reduction in the thickness of free tin at the surface of the coated substrate results in a smooth, hard surface with a low coefficient of friction (about 0.1 to about 0.3). The resulting
10 smooth, hard surface with a low coefficient of friction is particularly suitable for connector applications where insertion wear can prematurely degrade electrical connections. Without wishing to be bound by any particular theory, it is believed that the Zn underlay maintains a low contact resistance with
15 little or no free tin after heat treatment to consume the free
20 tin.

EXAMPLES

The following examples are intended to illustrate, but in no way limit the scope of the present invention. All parts and percentages are by weight and all temperatures are in degrees Celsius unless explicitly stated otherwise.

Deposition of Antitarnish Layers

The general steps for depositing the coating compositions of the present invention are as follows:

A selected substrate is first electrocleaned to remove oils and other contaminants from the substrate surface. A sodium hydroxide-based or other alkaline cleaner is used in an anodic cleaning process to clean the substrate surface. The electrocleaning process usually works most efficiently at 20-55°C with a current density range of 10-50 asf. The substrate is then rinsed clean with DI water. The substrate then undergoes an activation step where the surface is exposed to a sulfuric acid dip. After another DI water rinse, the antitarnish layer is deposited onto the substrate using techniques known in the art as discussed hereinabove. Following another DI water rinse, the outer layer comprising tin is deposited over the antitarnish layer. Following another DI water rinse, the coated substrate is subjected to a post-plating activation dip prior to reflow. Typically, the substrate is on

a conveyor belt moving at 5-500 ft/min, and then enters a reflow furnace maintained at a temperature of 240°C-600°C, thus reflowing the tin coating. The tin is then quenched in water, preferably deionized (DI) water.

5 By way of illustration, a copper alloy substrate (7 inches by 1.25 inch by 0.02 inches of C7025 copper alloy from Olin Corporation, Norwalk, CT) is cleaned in 30 g/l of a sodium hydroxide-based cleaner (Product No. E-9354 electrocleaner from Hubbard-Hall Corp, Waterbury, CT). The electrocleaner is heated
10 to 55°C and is maintained at constant agitation during the electrocleaning process (30 asf for 50 seconds). After electrocleaning, the copper substrate is rinsed in DI water, and then undergoes an activation step where the surface is exposed to a 20% sulfuric acid dip for 5 seconds. Following another DI
15 water rinse, a barrier layer of nickel and copper is electrodeposited at 30 asf. The nickel is plated using a nickel sulfamate bath at 90 g/l nickel with a nickel anode, agitation, and 50°C heating. U.S. Patent Nos. 5,780,172 and 5,916,695, both of which are incorporated by reference in their entireties,
20 provide details of these procedures.

Before the copper layer is deposited, another DI water rinse cleans the nickel-plated surface. A standard acid-copper sulfate bath is used to deposit the copper layer, as well as a copper anode, agitation, and room temperature control. Another

DI water rinse follows, and the tarnish-detering barrier (generally zinc) is then deposited from a zinc bath of 2 g/l zinc chloride and DI water under conditions of 0.5 asf, zinc anode, agitation, and 55°C temperature control. Following another DI water rinse, the 50 μinch of tin coating is deposited onto the zinc layer. The tin plating bath is acid-sulfate based containing 60 g/l stannous sulfate and is deposited under conditions of 30 asf, a tin anode, agitation, and room temperature control. Following another DI water rinse, the coated substrate is subjected to a post-plating activation dip using 1 g/l zinc chloride prior to reflow. After the sample is dried, the tin coating is melted on a hot plate at about 300°C to reflow the tin. The reflow process is quenched in DI water immediately. The coated substrates were evaluated as described below.

Tarnish Test Substrates coated with the above coating composition were placed on a hotplate maintained at about 300°C to determine whether the applied coating layers deterred yellowing with Standard reflow, nickel barrier reflow, copper barrier reflow, and Ni/Cu barrier reflow. The results are shown in Table I.

Table I - 300°C Hot Plate Test (Zinc Barrier, 25 Angstroms)
Effect on Reflow Tarnishing

Exposure (sec)	Cu Barrier Only	Ni Barrier Only	Ni/Cu Barrier	Cu/Zn Barrier	Ni/Zn Barrier	Ni/Cu/Zn Barrier
30	Tarnish	Slight Tarnish	Tarnish	No Tarnish	No Tarnish	No Tarnish
60	Tarnish	Tarnish	Tarnish	No Tarnish	No Tarnish	No Tarnish
90	Tarnish	Tarnish	Tarnish	No Tarnish	No Tarnish	No Tarnish
120	Tarnish	Severe Tarnish	Tarnish	No Tarnish	No Tarnish	No Tarnish

5 As shown in Table I, coating compositions including a zinc layer effectively deterred tarnish of the tin layers.

Solderability Test Substrates coated with the above coating composition were dipped in 60-40 Tin-Lead Solder for 6 seconds after being coated with Alpha 100 Flux. The solder coated samples were analyzed for levels of dewetting.

The coating compositions did not affect Solderability in the as-received condition, or after 8 hr. steam aging in a 100% humidity chamber at 93°C. According to ASTM Solderability Standard MIL-STD 883D, all of the samples were of a Class II ranking (>95% wetting). The exposure to humidity did not alter the level of dewetting. These results are consistent with typical tin reflow products.

Contact Resistance Test Contact resistance of the coated samples was evaluated by gold probe electrical resistance

testing, following ASTM standards B539-80 and B667-80. The gold probe testing measured electrical resistance with 25-500 gram normal loads. The samples were heat-aged at 150°C for one and three weeks, and electrical resistance was measured. The results are shown in Table II below:

Table II - Analysis of Contact Resistance (Ohms)

Sample	Alloy	Coating Type	Total Sn (μin)	Rc@100gr 1wk x 150°C (Ω)	Rc@100gr 3wks x 150°C (Ω)
C194/30μin Cu/Sn	C194 copper	Standard Reflow	40-50	0.01126	0.01392
C194/30μin Cu/50Å Zn/Sn	C194 copper	Standard Reflow	40-50	0.00797	0.008097

As shown in Table II, samples including zinc underplating showed improved contact resistance.

Reflectivity Test A ruler is placed perpendicular to the coated surface and the length of ruler that can be clearly reflected is recorded. Deviations from a clear reading give a qualitative

estimate of the degree of tarnish on the surface coating.

Standard reflow without the tarnish deterring barrier typically allows for a clear reflection up to 10 inches. Substrates coated with the coating composition of the invention showed the cosmetic reflection unchanged from Standard Reflow.

Coefficient of Friction Analysis Substrates coated with various combinations of the coating composition of the invention were evaluated for the magnitude of coefficient of friction.

Coefficient of friction measures the resistive force relative to the normal force when tin coated bump (1.6 mm hemisphere) slides 5 mm at 6 mm/sec across a tin coated flat surface. The normal load of 250 grams is applied to the coated substrate, and the instrument measures the resistive force. The R/N values are determined by dividing the resistive force by the normal force.

The results are shown in Table III.

Table III - Analysis of Coefficient of Friction

Coating/Treatment Type	Free Tin (μ inches)	R/N
40-80 μ inch reflow Sn	50	0.46
40-80 μ inch reflow Sn, Zn antitarnish layer, followed by heat treatment	0-5	0.10 - 0.18
Cu/Ni barrier, 20-40 μ inches reflow Sn, Zn antitarnish layer	16	0.29
Cu/Ni barrier, 40-80 μ inches reflow Sn, Zn antitarnish layer	26	0.375
40-80 μ inches reflow Sn, Zn antitarnish layer applied at 4 Amps	44	0.43
40-80 μ inches reflow Sn, Zn antitarnish layer applied at 6 Amps	45	0.49

As shown in Table III, substrates heat treated to reduce the free tin thickness display reduced coefficients of friction

(measured as R/N) in the 0.10 - 0.18 range as compared to standard reflow processes (0.36 to 0.53).

The data describe a relationship between the coefficient of friction (R/N) as a function of the tin thickness in the layers, and is shown graphically in Fig. 5. The relationship was fit to a curve, and expressed as

$$R/N = 0.1563 \times \text{LN}(\text{free tin}) - 0.1398,$$

with a correlation coefficient of $r^2 = 0.9285$.

Although the invention has been shown and described with respect to illustrative embodiments thereof, it should be appreciated that the foregoing and various other changes, omissions and additions in the form and detail thereof may be made without departing from the spirit and scope of the invention as delineated in the claims. All patents and patent applications mentioned are herein incorporated by reference in their entirety.

CLAIMS

WHAT IS CLAIMED IS:

5 1. A coated substrate, comprising:

an antitarnish layer deposited on a substrate in an amount effective to prevent tarnishing of said coated substrate; and

an outer layer deposited onto said antitarnish layer, said outer layer comprising tin or tin alloys having at least 50% by weight tin.

10 2. The coated substrate of claim 1, wherein said antitarnish layer comprises an antitarnish agent selected from the group consisting of zinc, chromium, indium, phosphorous, 15 manganese, boron, thallium, calcium, silver, gold, platinum, palladium, and combinations or alloys thereof.

20 3. The coated substrate of claim 1, wherein said antitarnish layer has a thickness of between 5 and 2500 Angstroms.

4. The coated substrate of claim 3, wherein said antitarnish layer has a thickness of between 5 and 1000 Angstroms.

5. The coated substrate of claim 4, wherein said antitarnish layer has a thickness of between 5 and 500 Angstroms.

6. The coated substrate of claim 1, wherein said outer layer has a thickness of between 10 and 1000 microinches.

7. The coated substrate of claim 6, wherein said outer layer has a thickness of between 10 to 400 microinches.

8. The coated substrate of claim 1, further comprising a barrier layer disposed between said substrate and said antitarnish layer.

9. The coated substrate of claim 8, wherein said barrier layer comprises an element selected from the group consisting of nickel, tin, iron, cobalt, copper, and combinations and alloys thereof.

10. The coated substrate of claim 1, wherein said outer layer further comprises a friction-reducing material selected from the group consisting of polyimide, polyamide, polytetrafluoroethylene, silicon carbide, aluminum oxide, tungsten carbide, molybdenum disulfide, and combinations thereof.

11. The coated substrate of claim 1, wherein said coating is in a heat treated condition and has a coefficient of friction in the range of from 0.1 to 0.3.

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12. The coated substrate of claim 1, wherein said substrate comprises copper or a copper alloy.

13. A coated substrate comprising a coating on a substrate, said coating having a first surface and a second surface, said second surface positioned adjacent to said substrate, and comprising:

a metal layer comprising tin or tin alloys having at least 50% by weight tin; and

a concentration gradient of antitarnish agent diffused into said metal layer, said concentration gradient having the highest concentration of said antitarnish agent at said second surface, said antitarnish agent present in said coating in an amount effective to prevent tarnishing of said coating;

and wherein said coating has a thickness between 10 microinches and 1000 microinches.

14. The coated substrate claim 13, wherein said antitarnish layer comprises an antitarnish agent selected from the group consisting of zinc, chromium, indium, phosphorous, manganese, boron, thallium, calcium, silver, gold, platinum, palladium, and combinations or alloys thereof.

15. The coated substrate claim 13, wherein said coating has a thickness of between 10 to 400 microinches.

16. The coated substrate claim 13, wherein the amount of antitarnish agent in said coating ranges from 0.001 to 5 wt%, based on the total weight of said coating.

17. The coated substrate claim 16, wherein the amount of antitarnish agent in said coating ranges from 0.005 to 3 wt%, based on the total weight of said coating.

18. The coated substrate claim 17, wherein the amount of antitarnish agent in said coating ranges from 0.01 to 2 wt%, based on the total weight of said coating.

19. The coated substrate claim 13, further comprising a barrier layer disposed between said second surface and said substrate and said antitarnish layer.

20. The coated substrate claim 19, wherein said barrier layer comprises an element selected from the group consisting of nickel, tin, iron, cobalt, copper, and combinations and alloys thereof.

21. The coated substrate of claim 13, wherein said outer layer further comprises a friction-reducing material selected from the group consisting of polyimide, polyamide, polytetrafluoroethylene, silicon carbide, aluminum oxide, tungsten carbide, molybdenum disulfide, and combinations thereof.

22. The coated substrate of claim 13, wherein said coating is in a heat treated condition and has a coefficient of friction in the range of from 0.1 to 0.3.

23. The coated substrate claim 13, wherein said substrate comprises copper or copper alloy.

24. A method of forming a coated substrate, comprising the steps of:

(1) depositing an antitarnish layer comprising an antitarnish agent onto a substrate, said antitarnish layer

deposited onto said substrate in an amount effective to prevent tarnishing of said coated substrate following diffusion of said antitarnish layer into said metal layer;

(2) depositing a metal layer onto said antitarnish layer, said metal layer comprising tin or tin alloys having at least 50% by weight tin; and

(3) diffusing said antitarnish layer into said metal layer to form a concentration gradient of said antitarnish agent within said metal layer, said concentration gradient having the highest concentration of said antitarnish agent at said substrate, to form said coated substrate.

25. The method of claim 24, wherein said antitarnish agent is selected from the group consisting of zinc, chromium, indium, phosphorous, manganese, boron, thallium, calcium, silver, gold, platinum, palladium, and combinations or alloys thereof.

26. The method of claim 24, wherein said deposited antitarnish layer has a thickness of between 5 and 2500 Angstroms.

27. The method of claim 26, wherein said deposited antitarnish layer has a thickness of between 5 and 1000 Angstroms.

28. The method of claim 27, wherein said deposited antitarnish layer has a thickness of between 5 and 500 Angstroms.

29. The method of claim 24, wherein following said diffusion step, the amount of antitarnish agent in said metal layer ranges from 0.001 to 5 wt%, based on the total weight of said metal layer following said diffusion step.

30. The method of claim 29, wherein following said diffusion step, the amount of antitarnish agent in said metal layer ranges from 0.005 to 3 wt%, based on the total weight of said metal layer following said diffusion step.

31. The method of claim 30, wherein following said diffusion step, the amount of antitarnish agent in said metal layer ranges from 0.01 to 2 wt%, based on the total weight of said metal layer following said diffusion step.

32. The method of claim 24, wherein said metal layer has a thickness of between about 10 and about 1000 microinches.

33. The method of claim 32, wherein said metal layer has a thickness of between about 10 and about 400 microinches.

34. The method of claim 33, wherein said metal layer has a thickness of between about 20 and about 80 microinches.

35. The method of claim 24, further comprising the step of depositing a barrier layer onto said substrate prior to said step of depositing said antitarnish layer.

36. The method of claim 35, wherein said barrier layer comprises an element selected from the group consisting of nickel, tin, iron, cobalt, copper, and combinations and alloys thereof.

37. The method of claim 24, wherein said substrate comprises copper or a copper alloy.

38. The method of claim 24, wherein said coated substrate has a coefficient of friction in the range of from about 0.1 to about 0.3.

39. The method of claim 24, wherein said diffusing step comprises heating said coated substrate at a temperature of between 240°C and 600°C.

40. The method of claim 24, wherein following said diffusing step, said coated substrate is heated.

41. The method of claim 40, wherein said heating step comprises heating said coated substrate at a temperature of between about 150°C and 200°C for between about 1 and about 75 hours.

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TARNISH DETERRING TIN COATING

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ABSTRACT OF THE DISCLOSURE

The present invention is directed to a coated substrate, comprising: an antitarnish layer deposited on a substrate in an amount effective to prevent tarnishing of said coated substrate; and an outer layer deposited onto said antitarnish layer, said outer layer comprising tin or tin alloys having at least 50% by weight tin. The present invention is also directed to coated substrates having a concentration gradient of antitarnish agent diffused into the coating, as well as methods of forming such coated substrates.

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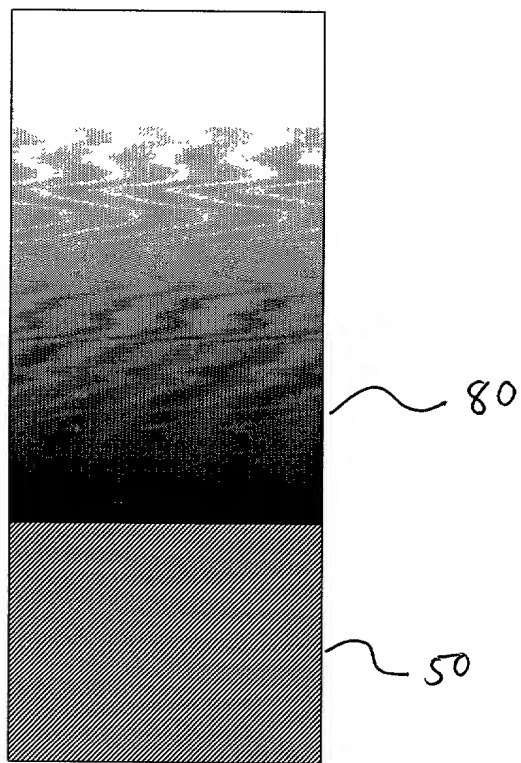


Fig. 3

Figure 1 consists of 12 sub-graphs, labeled a) through l), each showing the time course of a different physiological parameter over a 10-minute period. The x-axis for all graphs is 'Time (min)' ranging from 0 to 10. The y-axis represents the parameter value. The parameters and their approximate values are as follows:

- a) HR (b/min): Starts at ~70, rises to ~80 at 5 min, then fluctuates between 75 and 85.
- b) BP (mmHg): Starts at ~120, rises to ~130 at 5 min, then fluctuates between 125 and 135.
- c) SV (ml): Starts at ~70, rises to ~80 at 5 min, then fluctuates between 75 and 85.
- d) CO (l/min): Starts at ~5.0, rises to ~5.5 at 5 min, then fluctuates between 5.0 and 6.0.
- e) SVR (mmHg/l/min): Starts at ~1.5, rises to ~2.0 at 5 min, then fluctuates between 1.5 and 2.5.
- f) PVR (mmHg/l/min): Starts at ~0.5, rises to ~0.8 at 5 min, then fluctuates between 0.5 and 1.0.
- g) P (mmHg): Starts at ~10, rises to ~15 at 5 min, then fluctuates between 10 and 20.
- h) P (mmHg): Starts at ~10, rises to ~15 at 5 min, then fluctuates between 10 and 20.
- i) P (mmHg): Starts at ~10, rises to ~15 at 5 min, then fluctuates between 10 and 20.
- j) P (mmHg): Starts at ~10, rises to ~15 at 5 min, then fluctuates between 10 and 20.
- k) P (mmHg): Starts at ~10, rises to ~15 at 5 min, then fluctuates between 10 and 20.
- l) P (mmHg): Starts at ~10, rises to ~15 at 5 min, then fluctuates between 10 and 20.

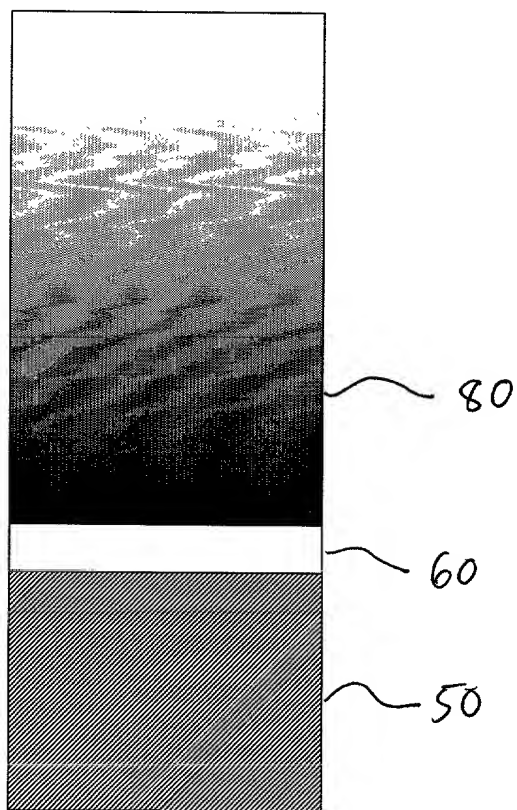
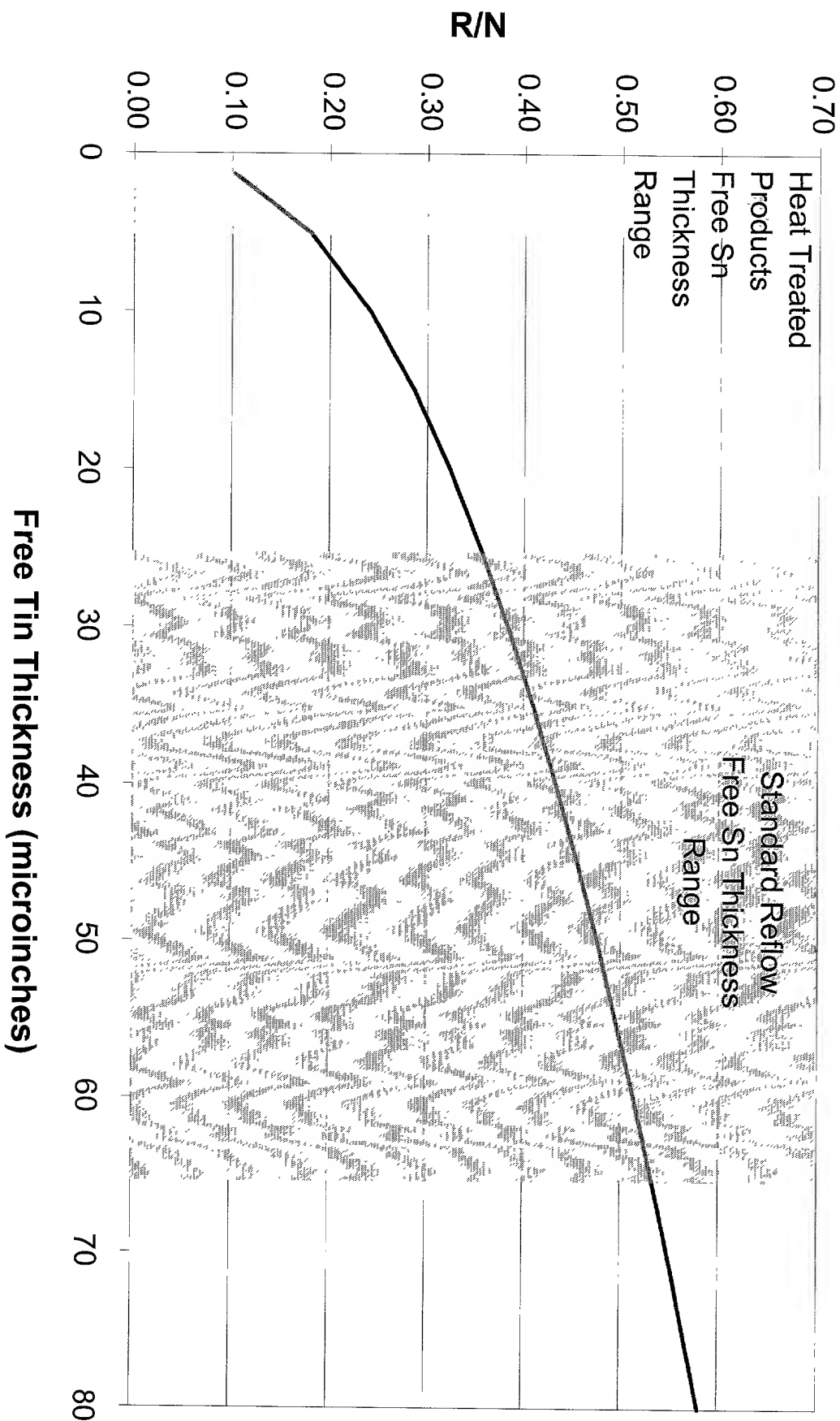


Fig. 4

Fig. 5

R/N VALUES AS A FUNCTION OF TIN THICKNESS

-- 250 g Load --



COMBINED DECLARATION AND POWER OF ATTORNEY FOR JOINT INVENTORS

1. As below named joint inventors, we hereby declare that our addresses and citizenship are as stated below next to our names. We believe we are the original and first inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TARNISH DETERRING TIN COATING

the specification of which:

☒ is attached or

☐ was filed on _____ as Serial No. _____.

2. We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.
3. We acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56.
4. ☐ We hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by us on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Country	Application Serial No	Date of Filing (day, mo., yr)	Priority Claimed under 35 U.S.C. § 119	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

5. ☐ We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), § 365(c) of any PCT international application designating the United States of America, and § 119(e) of any United States provisional application(s) that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application(s) and the filing date of this application:

Application Serial No	Filing Date	Status

6. We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
7. As named inventors, we hereby appoint the following attorneys of Wiggin & Dana to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Dale L. Carlson, Reg. No. 28,784; Todd E. Garabedian, Ph.D., Reg. No. 39,197; Gregory S. Rosenblatt, Reg. No. 32,489; William A. Simons, Reg. No. 27,096; William B. Slate, Reg. No. 37,238 and Jody L. DeStefanis, Reg. No. 44,653.
8. Please send all correspondence to:

Todd E. Garabedian, Ph.D.
Wiggin & Dana
One Century Tower
New Haven, Connecticut 06508-1832
Telephone: (203) 498-4400

9. ☐ As named inventors, we hereby appoint the attorneys listed in paragraph 7 as our domestic representatives for the invention identified in paragraph 1 with full power of substitution and revocation, to transact all business in the U.S. Patent and Trademark Office and in the U.S. courts in connection therewith. They also designated as domestic representative on whom process or notice of proceedings affecting the application or patents issuing therefrom may be served.
- ☐ We hereby authorize the U.S. attorneys named in paragraph 7 to accept and follow instruction from _____ as to any actions to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and us. In the event of a change in the persons from whom instructions may be taken, we will notify the U.S. attorneys.

11. Inventor Information:

Full name of first inventor: Christopher P. Laurello
Inventor's Signature: _____
Date: _____ Citizenship: US
Residence: 2 Pearl Street, Milford, CT 06460
Post Office Address: 2 Pearl Street, Milford, CT 06460

Full name of second inventor: Derek E. Tyler
Inventor's Signature: _____
Date: _____ Citizenship: US
Residence: 399 Jinny Hill Road, Cheshire, CT 06410
Post Office Address: 399 Jinny Hill Road, Cheshire, CT 06410

Full name of third inventor: Szuchain Chen
Inventor's Signature: _____
Date: _____ Citizenship: US
Residence: 778 Mapledale Road, Orange, CT 06477
Post Office Address : 778 Mapledale Road, Orange, CT 06477

Full name of fourth inventor: Julius C. Fister
Inventor's Signature: _____
Date: _____ Citizenship: US
Residence: 28 Norwood Avenue, Hamden, CT 06518
Post Office Address : 28 Norwood Avenue, Hamden, CT 06518

This is the end of the listing of inventors.